

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

149

In re application of: Joseph A. Sorge

Application No.: 09/338,855  
Filed: June 23, 1999

Group No.: 1654  
Examiner: Unknown

Commissioner for Patents and Trademarks  
Washington, D.C. 20231

ATTENTION: Refund Section, Accounting Division, Office of Finance

REQUEST FOR REFUND  
(IMPROPER CHARGE OF DEPOSIT ACCOUNT)

US PATENT & TRADEMARK OFFICE  
JUL 1 1999  
COMM-FIN

I. REFUND REQUEST

This is a request for a refund, with respect to the charge to Deposit Account 19-0733, shown on the statement dated July 31, 2000, for the above-identified application. This request for refund is being submitted within the two (2) year time period permitted under 37 C.F.R. 1.26.

A copy of the July 31, 2000 monthly statement, in which the error referred to occurs, accompanies this request.

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CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents and Trademarks, Washington, D.C. 20231.

FACSIMILE

- ☐ transmitted by facsimile to the Patent and Trademark Office.

  
Signature

Date: 4/27/01

Michelle A. DeFalco  
(type or print name of person certifying)

**II. FEES CHARGED FOR WHICH REFUND REQUESTED**

	<b>AMOUNT OF REFUND REQUESTED</b>
Excess claims	\$2,007.00
<b>TOTAL REFUND REQUESTED</b>	<b>\$2,007.00</b>

**III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR**

Application No. 09/338,855 was filed with 124 excess claims, which would make the correct amount for Fee Code 203 equal to \$2,232.00. Instead, the amount charged to the Deposit Account in the July 31, 2000 monthly statement was \$4,239.00, as indicated in highlighting on the enclosed Deposit Account Statement dated 7/31/00,

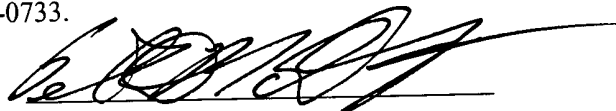
Accordingly, the Deposit Account was overcharged in the amount of \$2,007.00.

**IV. MANNER OF REFUND**

Please make refund to Deposit Account No. 19-0733.

Date:

4/27/01



Peter D. McDermott  
Registration No. 29,411  
BANNER & WITCOFF, LTD  
28 State Street, 28th Floor  
Boston, MA 02109  
617-617-227-7111  
Customer No. 22910


**U.S. Patent and Trademark Office**  
 OFFICE OF FINANCE
**Deposit Account Statement**

Requested Statement Month: July 2000  
 Deposit Account Number: 190733  
 Name: BANNER & WITCOFF, LTD  
 Attention: NATE WELDON/PAT PADGETT  
 Address: 1001 G STREET NW  
 City: WASHINGTON  
 State: DC  
 Zip: 20020

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
07/03	4	09338855	04435/79243	202	\$364.00	\$12,533.00
07/03	6	09338855	04435/79243	203	\$4,239.00	\$8,294.00
07/03	7	09324501	1701.80789	115	\$110.00	\$8,184.00
07/03	7	09338855	04435/79243	204	\$130.00	\$8,054.00
07/03	8	09338855	04435/79243	205	\$65.00	\$7,989.00
07/03	47	PAYMENT		701	-\$2,050.00	\$10,039.00
07/03	72	60214483	04974.00042	114	\$150.00	\$9,889.00
07/03	90	09582462	00434.00001	960	\$970.00	\$8,919.00
07/03	91	09582462	00434.00001	968	\$260.00	\$8,659.00
07/03	92	09582462		704	-\$1,330.00	\$9,989.00
07/03	114	09348201	05127.8201	142	\$1,210.00	\$8,779.00
07/03	115	09348201	05127.8201	561	\$30.00	\$8,749.00
07/05	13	09411171	3797.80030	122	\$130.00	\$8,619.00
07/05	223	75422018	1792.72909	364	-\$150.00	\$8,769.00
07/06	149	09604365	3797.85751	101	\$690.00	\$8,079.00
07/06	150	09604365	3797.85751	102	\$78.00	\$8,001.00
07/06	151	09604365	3797.85751	103	\$54.00	\$7,947.00
07/07	1	09208937		219	\$150.00	\$7,797.00
07/07	42	PAYMENT		701	-\$6,381.00	\$14,178.00
07/07	55	60215340	05037.86974	214	\$75.00	\$14,103.00
07/07	56	60215341	03797.00021	114	\$150.00	\$13,953.00
07/07	57	60215342	03797.00018	114	\$150.00	\$13,803.00
07/07	63	60215339	03797.00019	114	\$150.00	\$13,653.00
07/07	95	75424751	1223.73662	363	\$100.00	\$13,553.00
07/10	6	09607099	HOE98-F001US	101	\$690.00	\$12,863.00
07/10	37	09053485	271/42848-C2	119	\$300.00	\$12,563.00
07/10	59	5198093		184	\$1,900.00	\$10,663.00
07/10	314	09555770	3904.00001	964	\$78.00	\$10,585.00
07/11	36	09609012	HOE98/F002US	101	\$690.00	\$9,895.00
07/11	82	09608029	6421/1000	101	\$279.50	\$9,615.50
07/11	84	09608029	6421/1000	103	\$182.00	\$9,433.50
07/11	85	09608029	6421/1000	104	\$260.00	\$9,173.50
07/11	99	60215488	03797.00020	114	\$150.00	\$9,023.50
07/11	183	09229315	4208.78232	570	\$100.00	\$8,923.50
07/11	211	60072719	3448.72980	567	\$120.00	\$8,803.50

07/12 2	08861572	01107.08029	277	\$435.00	\$8,388.50
07/12 5	09515424	3797.85749	105	\$130.00	\$8,258.50
07/12 6	09515424	3797.85749	115	\$110.00	\$8,148.50
07/12 12	09525161		704	-\$390.00	\$8,538.50
07/12 24	PAYMENT		701	-\$6,200.00	\$14,738.50
07/12 31	08213988		183	\$830.00	\$13,908.50
07/12 32	08213988		188	\$1,640.00	\$12,268.50
07/12 65	09030128	1701.73984	561	\$18.00	\$12,250.50
07/12 83	09510643		704	-\$260.00	\$12,510.50
07/13 1	09286409	4526/80150	115	\$110.00	\$12,400.50
07/13 3	09609774	01701.00006	103	\$180.00	\$12,220.50
07/13 3	60216352	04974.00062	114	\$150.00	\$12,070.50
07/13 13	60012033	3416	567	\$60.00	\$12,010.50
07/13 25	60216886	00479.00010	114	\$150.00	\$11,860.50
07/13 48	60216523	02973.00003	114	\$150.00	\$11,710.50
07/13 156	PCT/US00/18679	04922.00002	150	\$240.00	\$11,470.50
07/13 157	PCT/US00/18679	04922.00002	153	\$450.00	\$11,020.50
07/13 158	PCT/US00/18679	04922.00002	800	\$427.00	\$10,593.50
07/13 159	PCT/US00/18679	04922.00002	801	\$810.00	\$9,783.50
07/13 160	PCT/US00/18679	04922.00002	899	\$736.00	\$9,047.50
07/13 161	PCT/US00/18679	04922.00002	566	\$15.00	\$9,032.50
07/13 168	09526315	05127.59864	101	\$690.00	\$8,342.50
07/13 169	09526315	05127.59864	103	\$738.00	\$7,604.50
07/13 170	09526315	05127.59864	102	\$156.00	\$7,448.50
07/13 171	09526315	05127.59864	105	\$130.00	\$7,318.50
07/14 4	08694194	1701.590220	103	\$18.00	\$7,300.50
07/14 11	09327248	00645.07928	216	\$190.00	\$7,110.50
07/14 16	60217074	0497400063	114	\$150.00	\$6,960.50
07/14 18	60217076		114	\$150.00	\$6,810.50
07/14 19	60217075		114	\$150.00	\$6,660.50
07/14 23	PAYMENT		701	-\$4,853.50	\$11,514.00
07/14 29	09610134		704	-\$527.00	\$12,041.00
07/14 73	PCT/US99/03459	3829/79112	192	\$140.00	\$11,901.00
07/14 208	60142956	3417	567	\$30.00	\$11,871.00
07/17 1	09413350		148	\$110.00	\$11,761.00
07/17 7	09608216	00479.00011	101	\$690.00	\$11,071.00
07/17 19	PCT/US00/09658	02684.77570	566	\$15.00	\$11,056.00
07/17 37	09458094	01701.85286	103	\$126.00	\$10,930.00
07/17 38	09458094	01701.85286	102	\$234.00	\$10,696.00
07/17 39	09458094	01701.85286	115	\$110.00	\$10,586.00
07/17 154	09066978	0629.04390	119	\$300.00	\$10,286.00
07/17 209	09457476	04908.85091	101	\$70.00	\$10,216.00
07/18 1	09101068		961	-\$535.00	\$10,751.00
07/18 1	09453794	00242.85018	102	\$78.00	\$10,673.00
07/18 125	09526861	05127.53978	581	\$40.00	\$10,633.00
07/18 126	09526863	05127.59794	581	\$40.00	\$10,593.00
07/19 6	PAYMENT		701	-\$4,350.00	\$14,943.00
07/19 780	PCT/US00/19383	05031.87378	150	\$240.00	\$14,703.00
07/19 781	PCT/US00/19383	05031.87378	151	\$700.00	\$14,003.00
07/19 782	PCT/US00/19383	05031.87378	800	\$427.00	\$13,576.00
07/19 783	PCT/US00/19383	05031.87378	801	\$730.00	\$12,846.00
07/19 784	PCT/US00/19383	05031.87378	899	\$736.00	\$12,110.00
07/19 785	PCT/US00/19383	05031.87378	566	\$30.00	\$12,080.00
07/20 41	09453122	10283-004U01	203	\$153.00	\$11,927.00
07/20 101	60217024	00645.87324	114	\$150.00	\$11,777.00
07/21 1	09273572	04420 77425	202	\$117.00	\$11,660.00

# Deposit Account Statement

07/21 87	08445332	1077	117	-\$870.00	\$12,530.00
07/24 19	5536935		183	\$830.00	\$11,700.00
07/24 20	5536935		186	\$130.00	\$11,570.00
07/24 47	08439992		122	\$130.00	\$11,440.00
07/24 100	08805241	3098.50216	217	\$435.00	\$11,005.00
07/24 101	08805241	3098.50216	231	\$345.00	\$10,660.00
07/24 178	08993173	3413	570	\$50.00	\$10,610.00
07/25 5	09324501	1701.80789	103	\$108.00	\$10,502.00
07/25 57	60219110	04974.00086	114	\$150.00	\$10,352.00
07/25 68	60012033	3416	567	-\$60.00	\$10,412.00
07/25 73	60012033	3416	566	\$30.00	\$10,382.00
07/25 106	76092983	4597/00001	361	\$325.00	\$10,057.00
07/26 1	PAYMENT		701	-\$4,250.00	\$14,307.00
07/26 15	29126499	00280.00001	106	\$10.00	\$14,297.00
07/26 30	4930776		284	\$950.00	\$13,347.00
07/26 31	4930776		188	\$1,640.00	\$11,707.00
07/26 169	09182688	41753-C2	118	\$1,360.00	\$10,347.00
07/26 231	60159902	SUPTTER TEK	581	\$40.00	\$10,307.00
07/26 311	60184336	04975.86189	581	\$40.00	\$10,267.00
07/27 23	09320284	00407.76043	102	\$78.00	\$10,189.00
07/27 40	09618369	1028/84900	103	\$86.00	\$10,103.00
07/27 42	09618369	1028/84900	581	\$40.00	\$10,063.00
07/27 52	08989635	02198.10929	570	\$150.00	\$9,913.00
07/27 77	08655091	02198.55367	570	\$225.00	\$9,688.00
07/27 84	08989635	02198.10929	570	\$150.00	\$9,538.00
07/28 1	09017944	33373661	115	\$110.00	\$9,428.00
07/28 58	09618362	1028/84901	102	\$194.00	\$9,234.00
07/28 60	09618362	1028/84901	103	\$216.00	\$9,018.00
07/28 61	09618362	1028/84901	581	\$40.00	\$8,978.00
07/28 157	09620561	22441.00001	101	\$690.00	\$8,288.00
07/28 158	09620561	22441.00001	102	\$546.00	\$7,742.00
07/28 159	09620561	22441.00001	103	\$108.00	\$7,634.00
07/28 345	09515424	3797.85749	581	\$40.00	\$7,594.00
07/31 2	PAYMENT		701	-\$6,100.00	\$13,694.00
07/31 11	5569757		183	\$830.00	\$12,864.00
07/31 26	75602924		364	\$150.00	\$12,714.00
07/31 36	09228757	00407.78297	142	\$1,210.00	\$11,504.00
07/31 37	09228757	00407.78297	561	\$12.00	\$11,492.00
07/31 40	09367304	1085.0030000	567	\$30.00	\$11,462.00
07/31 107	09097258	1701.74887	561	\$21.00	\$11,441.00

START  
BALANCE  
\$12,897.00

SUM OF  
CHARGES  
\$39,762.50

SUM OF END  
REPLENISH BALANCE  
\$38,306.50 \$11,441.00

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